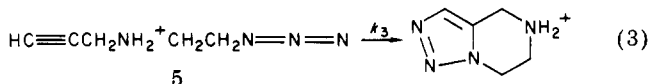


of dissociation constants for the individual substrates by methods previously described: $K_1 = 6.5 \times 10^{-4}$ M, $K_2 = 2.5 \times 10^{-3}$ M (eq 2).

Our kinetic data has been processed so as to provide an estimate of k_1 , the limiting first-order rate constant for conversion of the termolecular reactant complex 4·1·2 to product complex 4·3 as well as an apparent dissociation constant for 4·1·2, namely, K_3 (eq 2). The analysis is complicated by *substrate inhibition* (another "enzyme-like" feature). Very high concentrations of 1 actually retard the cycloaddition, and this seriously hampers determination of a value for the maximum rate (k_1). However, we have been able to fit our data so as to obtain K_1 , an inhibition constant for formation of the unreactive ternary complex 4·1·1. Our evidence indicates that K_3 and K_i have nearly the same numerical value, which is a chemically plausible observation. In order to limit the number of adjustable parameters, we specify that $K_i = K_3$ in our present analysis.

The values obtained by least-squares treatment of our reaction velocity data are as follows: $k_1 = 0.019 (\pm 0.002)$ s⁻¹ and $K_3 = K_i = 0.30 (\pm 0.04)$ M. Assessment of "catalytic acceleration" is a sometimes controversial matter. Because k_1 is a *first-order* rate constant (spontaneous conversion of 4·1·2), it is obviously inappropriate to compare its value with the rate constant (k_0) for the bimolecular reaction of 1 plus 2 in the absence of 4. However, since K_3 is actually a Michaelis constant, the ratio k_1/K_3 constitutes a second-order rate constant for reaction between 2 and the saturated complex 4·1. Hence, the kinetic acceleration by comparison of bimolecular reactions is a factor of 5.5×10^4 . This constitutes the proper index of effectiveness for 4.⁶ As an independent check, we have prepared the model substance 5, which undergoes uncatalyzed intramolecular cycloaddition (eq 3) with a rate constant of $k_3 = 2.0 \times 10^{-5}$



s⁻¹ under the standard conditions. Comparison of this number with k_1 indicates an acceleration of 9.4×10^2 for the cycloaddition within 4. The model 5 has free rotations about several bonds, which must be frozen in the transition state for cycloaddition. Consequently, its relative unreactivity may be explained.

However, we believe that 4 accomplishes more in our reaction than just elimination of entropic constraints, as may be shown by a comparison of dissociation constants. Of particular significance is the fact that K_3 , which formally corresponds to binding of 2 to the preexisting complex of 4 and 1, *exceeds* the corresponding binary disso-

ciation constant K_2 by a factor of 120. This suggests that the cavity of 4 is not sufficiently large to accommodate both 1 and 2 without some *strain*⁷ (equivalent to a $\Delta G \approx 3$ kcal/mol). When both substrates are bound simultaneously, they must be *compressed* together, and this results in additional kinetic acceleration beyond that expected solely by proper orientation of the substrates at their van der Waals distance. Stated differently, the substrates together exceed the binding capacity of 4 but the *transition state* for the cycloaddition more closely corresponds to the dimensions of the ideal guest for 4.^{3,8} This is the Pauling principle for catalysis, which states that an enzyme should be complementary to the activated complex of a reaction rather than to the substrates (or products).⁹ The latter concept is an important refinement of the classical Fischer lock-and-key theory, and it is here demonstrated in a nonbiochemical host-guest system for the first time.

Further analysis of the kinetics and mechanism of this reaction suggests that the contribution to catalysis specifically from bound-substrate destabilization may in fact be greater than the minimum of 11-fold rate enhancement which is indicated by our evidence.¹⁰ Because of a strong possibility of nonproductive substrate binding modes, the actual velocity for properly oriented (and compressed) substrates may be very much greater than the apparent reaction rate.¹¹ These considerations must await a fuller exposition of this reaction.

Acknowledgment. This work was supported by the Dow Chemical Company Foundation.

Registry No. 1, 87156-39-6; 2, 87156-41-0; 3, 87156-43-2; 4, 80262-44-8.

(7) Strictly speaking, 4 induces a *stress* in 1·2; the reflexive term *strain* is used by chemical convention; Fersht, A. "Enzyme Structure and Mechanism"; Freeman: San Francisco, 1977; p 269.

(8) Swieton, G.; von Jouanne, J.; Kelm, H.; Huisgen, R. *J. Org. Chem.* 1983, 48, 1035.

(9) Pauling, L. *Am. Sci.* 1948, 36, 51. For a discussion, see: Jencks, W. P. *Adv. Enzymol. Relat. Areas Mol. Biol.* 1975, 43, 219.

(10) Although $K_3/K_2 = 120$, the corresponding strain energy should be regarded as *equally* partitioned between host and guests, with only that associated with guests contributing to a reduction of the activation energy for cycloaddition.

(11) For example, the rate constant observed (k_1) may correspond to conversion of a nonproductive to a productive complex and not to the cycloaddition itself. In this case the actual dissociation constant for the *productive* ternary complex would be undetermined and the Pauling principle unquantified with respect to the foregoing interpretation.

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Received June 10, 1983

(6) Because $k_1 > k_2$ (product release), the overall catalysis is only a factor of 4.9×10^2 by this criterion.